

Isolation Number versus Domination Number of Trees

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Abstract: If $G = (V_G, E_G)$ is a graph of order n , we call $S \subseteq V_G$ an isolating set if the graph induced by $V_G - N_G[S]$ contains no edges. The minimum cardinality of an isolating set of G is called the isolation number of G , and it is denoted by $\iota(G)$. It is known that $\iota(G) \leq \frac{n}{3}$ and the bound is sharp. A subset $S \subseteq V_G$ is called dominating in G if $N_G[S] = V_G$. The minimum cardinality of a dominating set of G is the domination number, and it is denoted by $\gamma(G)$. In this paper, we analyze a family of trees T where $\iota(T) = \gamma(T)$, and we prove that $\iota(T) = \frac{n}{3}$ implies $\iota(T) = \gamma(T)$. Moreover, we give different equivalent characterizations of such graphs and we propose simple algorithms to build these trees from the connections of stars.

Keywords: domination number; isolation number; trees; algorithms



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1. Introduction

We start by introducing the basic notation. Let $G = (V_G, E_G)$ be a simple, undirected, finite graph of order n . The open neighbourhood of a vertex $v \in V_G$, denoted $N_G(v)$, is the set of neighbours of v ; thus, $N_G(v) = \{u \in V_G, uv \in E_G\}$. The closed neighborhood of v is the set $N_G[v] = N_G(v) \cup \{v\}$. The degree of a vertex $v \in V_G$, denoted by $d_G(v)$, is the number of neighbours of v , so $d_G(v) = |N_G(v)|$. A leaf in a graph G is a vertex of degree 1 in G and a support vertex is a vertex adjacent to a leaf. A strong support vertex is adjacent to at least two leaves.

The set of leaves of G is denoted by $\Omega(G)$ and the set of all support vertices of G is denoted by $Supp(G)$. The subgraph of G induced by $S \subseteq V_G$ is denoted by $G[S]$ and the subgraph obtained from G by deleting all vertices in S , and all edges incident with vertices in S are denoted by $G - S$. We say that a set $X \subseteq V_G$ is a two-packing one if $d_G(u, v) > 2$ for any two vertices $u, v \in X$.

A subset $S \subseteq V_G$ is called dominating in G if $N_G[S] = V_G$. The minimum cardinality of a dominating set of G is the domination number, and is denoted by $\gamma(G)$. A dominating set of cardinality $\gamma(G)$ is called a minimum dominating set or $\gamma(G)$ -set. There is extensive literature on dominating sets in graphs. In particular, we refer to the books by Haynes et al. [1] and Yero [2] for a revision of theoretical, algorithmic and application aspects of domination in graphs.

In [3,4], the authors introduce the definition of an isolation number of a graph and consider bounds on it in terms of order and degree. For a family of graphs \mathcal{F} , they call a set of vertices S , an \mathcal{F} -isolating set if the graph induced by the set $V_G - N_G[S]$ contains no member of \mathcal{F} as a subgraph. In particular, $\{K_1\}$ -isolating sets coincide with the usual dominating sets.

The vertices not dominated by a $\{K_2\}$ -isolating set form an independent set. In the sequel, we use an isolating set instead of a $\{K_2\}$ -isolating set. The minimum cardinality of an isolating set of a graph G is denoted $\iota(G)$ and is called the isolation number of G . An isolating set of cardinality $\iota(G)$ is called a minimum isolating set or $\iota(G)$ -set. In [4], the

authors proved that $\iota(G) \leq \frac{n}{3}$ and the bound is sharp. In a recent paper [5], this bound has been improved for outerplanar graphs by considering the number of vertices of degree 2.

Our work focuses on the characterization of trees considering the concept of an isolating set. We first study the relationship between isolation and domination numbers for such graphs, and then we investigate the trees for which the $\iota(G) = \frac{n}{3}$ bound is reached. An important contribution of this work is the characterization of trees for which $\iota(G) = \gamma(G)$ (see Theorem 5). We also provide different equivalent conditions of the fact that $\iota(G) = \frac{n}{3}$ (see Theorem 8) and we show that, in particular, trees such that $\iota(G) = \frac{n}{3}$ verify that $\iota(G) = \gamma(G)$.

Using the theoretical description of the trees, we obtain algorithms to build trees with the condition $\iota(G) = \gamma(G)$ and $\iota(G) = \frac{n}{3}$. We show several examples of the utilization of these algorithms to obtain ad hoc networks.

This work is organized as follows. Section 2 presents theoretical results to characterize trees for which the domination number is equal to the isolation number. In Section 3, we deal with the study of a family of trees T such that $\iota(T) = \frac{n}{3}$. These kinds of trees are a particular case of trees with $\gamma(T) = \iota(T)$. Section 4 presents an algorithm to build this family of trees to determine the most important parameters studied in this paper. Finally, Section 5 is devoted to the conclusion.

2. Study of Trees with Domination Number Equal to Isolation Number

We begin by giving an exact value of the isolation number for paths.

Theorem 1. *If $P_n = (v_1, \dots, v_n)$ is a path with $n \geq 3$ vertices, then $\iota(P_n) = \lceil \frac{n-1}{4} \rceil$.*

Proof. The set $S = \{v_i \in V_{P_n} : i \equiv 3 \pmod{4}\}$ is an isolating set of cardinality $\lceil \frac{n-1}{4} \rceil$ of P_n for $n \equiv 0, 1, 3 \pmod{4}$, and $S \cup \{v_n\}$ is an isolating set of cardinality $\lceil \frac{n-1}{4} \rceil$ of P_n for $n \equiv 2 \pmod{4}$, so we obtain that $\iota(P_n) \leq \lceil \frac{n-1}{4} \rceil$.

Now, let D be an isolating set of P_n . Since D is isolating, there are no four consecutive vertices in $V_{P_n} - D$ and the distance $d(v_i, D) = \min\{d(v_i, w), w \in D\} \leq 2$, for $i \in \{1, n\}$. Thus, we have $\iota(P_n) \geq \lceil \frac{n-1}{4} \rceil$. \square

We can characterize trees T for which $\iota(T) = \gamma(T)$. Let \mathcal{F} be the family of trees T that can be obtained from a sequence T_1, \dots, T_j ($j \geq 1$) of trees such that T_1 is a star $K_{1,p}$ ($p \geq 2$) and $T = T_j$; and if $i \geq 1$, T_{i+1} can be obtained from T_i by adding a star $K_{1,r}$ ($r \geq 2$) and an edge xy , where x is a vertex at a distance two from a leaf of T_i and y is an end vertex of a star $K_{1,r}$.

The following observation gives the immediate properties of trees belonging to the family \mathcal{F} , and Figure 1 shows an example.

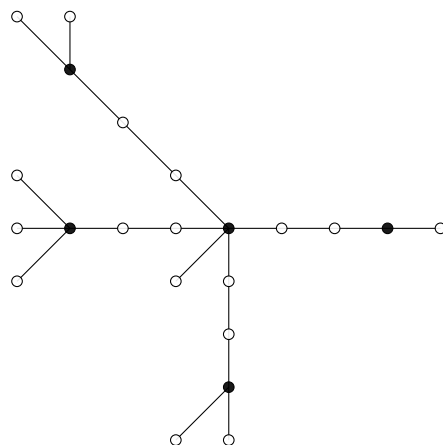


Figure 1. Example of a tree from the family \mathcal{F} . The black dots correspond to vertices in set $Supp(T)$.

Observation 1. *If $T \in \mathcal{F}$, then $Supp(T)$ forms a maximum 2-packing, and it is the unique $\gamma(T)$ -set not containing leaves.*

Observation 2. Let T be a tree of order $n \geq 3$ such that $Supp(T)$ is a dominating set of T and forms a maximum 2-packing. If $P = (v_0, v_1, \dots, v_m)$ is a longest path in T , we have $d_T(v_2) = 2$ and $v_3 \notin Supp(T)$. Since $Supp(T)$ is a dominating set of T , we also get v_3 at a distance 2 from a leaf. Thus, $T' = T - N_T[v_1]$ is a tree, and we can obtain T from T' by attaching the star $N_T[v_1]$ and the edge v_2v_3 . Therefore, $T' \in \mathcal{F}$ implies $T \in \mathcal{F}$.

As consequence of the above observations, we have the following characterization of trees belonging to the family \mathcal{F} .

Theorem 2. Let T be a tree of order $n \geq 3$. $V_T = N_T[Supp(T)]$ and $Supp(T)$ forms a maximum 2-packing if, and only if $T \in \mathcal{F}$.

Proof. We prove $T \in \mathcal{F}$ by induction on n . If $n = 3$, then $T = K_{1,2} \in \mathcal{F}$. Assume the result holds for every tree T' of order $k \leq n - 1$. Now, we take T of order n . We are going to see that if $P = (v_0, v_1, \dots, v_m)$ is a longest path in T , then $T' = T - N_T[v_1] \in \mathcal{F}$. In fact, using the hypothesis, we have that $Supp(T') = Supp(T) - \{v_1\}$ is a maximum 2-packing in T' and $V_{T'} = N_{T'}[Supp(T')]$. Hence, by induction, $T' \in \mathcal{F}$. By Observation 2, we infer that $T \in \mathcal{F}$. We finish the proof using Observation 1. \square

We have the following consequence of Theorem 2.

Theorem 3. Let T be a tree of order $n \geq 3$. $T \in \mathcal{F}$ if, and only if $|N_T[x] \cap Supp(T)| = 1$ for every vertex $x \in V_T$.

Proof. Assume first that $T \in \mathcal{F}$. By Observation 1, $|N_T[x] \cap Supp(T)| \geq 1$ for every $x \in V_T$. If there exists a vertex x such that $|N_T[x] \cap Supp(T)| > 1$, then there are two vertices $a, b \in Supp(T)$ with $d_T(a, b) \leq 2$ which contradicts that $Supp(T)$ is a 2-packing. Now, if for every $x \in V_T$ is $|N_T[x] \cap Supp(T)| = 1$, then $V_T = N_G[Supp(T)]$ and $Supp(T)$ is a 2-packing, so the result holds from Theorem 2. \square

The following result is a characterization of trees T with $\gamma(T) = \iota(T)$.

Theorem 4. Let T be a tree of order $n \geq 3$. $\gamma(T) = \iota(T)$ if, and only if $|N_T[x] \cap Supp(T)| = 1$ for every vertex $x \in V_T$.

Proof. Assume $\gamma(T) = \iota(T) = l$. Let D be a minimum dominating set of T . First, we verify by contradiction that

$$\forall x \in Supp(T), N_T[x] \cap Supp(T) = \{x\}. \tag{1}$$

$$\forall x \notin Supp(T), |N_T[x] \cap Supp(T)| \leq 1. \tag{2}$$

If $x \in Supp(T)$ and there exists a vertex $x' \in N_T(x) \cap Supp(T)$, then $S_1 = D - \{x\}$ is an isolating set of T . If $x \notin Supp(T)$ and there are $s_1 \neq s_2$ two different support vertices such that $s_1, s_2 \in N_T(x)$, then $S_2 = (D - \{s_1, s_2\}) \cup \{x\}$ is an isolating set of T . In both cases we have a contradiction with $\gamma(T) = \iota(T)$.

Now, we prove by induction on l that

$$\forall x \in V_T, |N_T[x] \cap Supp(T)| \geq 1. \tag{3}$$

If $\gamma(T) = \iota(T) = 1$, then T is a star, and the result holds. Assume that Equation (3) is true for trees T' with $\gamma(T') = \iota(T') < l$. Let T be a tree with $\gamma(T) = \iota(T) = l$, and let D be a minimum dominating set not containing leaves of T . Let $P = (v_0, \dots, v_m)$ be a longest path of T . From Equation (1), we have $N_T[v_1] \cap Supp(T) = \{v_1\}$, and then $v_2 \notin Supp(T)$. We verify that $d_T(v_2) = 2$, by contradiction as follows. If $d_T(v_2) > 2$, then $|N_T[v_2] \cap Supp(T)| \geq 2$. Hence, $\{v_2\} \cup (D - (N_T[v_2] \cap Supp(T)))$ is an isolating set of T , and we have a contradiction. Then we can consider the tree $T' = T - N_T[v_1]$ and $\gamma(T') = \iota(T') = |D - \{v_1\}| = l - 1$. By induction, $Supp(T')$ is a dominating set of T' .

Using that $Supp(T) = Supp(T') \cup \{v_1\}$, we conclude that $V_T = N_T[Supp(T)]$, and the Equation (3) holds.

Now, we assume that every $x \in V_T$ is $|N_T[x] \cap Supp(T)| = 1$. By Theorem 3 we have $T \in \mathcal{F}$.

We prove by induction on $s = |Supp(T)|$ that $Supp(T)$ is a minimum isolating set of T and $\gamma(T) = \iota(T) = s$. If $s = 1$, then T is a star and $\gamma(T) = \iota(T) = 1$. Assume the result holds for trees with $s' < s$ support vertices. Let T be a tree with $s = |Supp(T)| > 1$ support vertices. Take $P = (v_0, v_1, \dots, v_m)$ a longest path in T and $T' = T - N[v_1]$. Then, T' is a tree with $Supp(T') = Supp(T) - \{v_1\}$ and $|N_{T'}[x] \cap Supp(T')| = 1$ for every vertex $x \in V_{T'}$. By induction, $Supp(T')$ is a minimum isolating set of T' and $s - 1 = \gamma(T') = \iota(T')$. By Observation 1, $Supp(T)$ is a $\gamma(T)$ -set. Now, let W be a minimum isolating set of T . Therefore, the set $W \cap T'$ is an isolating set of T' . From the fact that $v_0, v_1 \in V_T - N_T[W \cap T']$, we have that $W \cap T'$ is not an isolating set of T . Then,

$$s - 1 = \iota(T') \leq |W \cap T'| < |W| = \iota(T) \leq \gamma(T) = s$$

and we conclude that $\iota(T) = s = \gamma(T)$. \square

The next theorem, which characterizes trees T with equality $\gamma(T) = \iota(T)$, is a final consequence of the results above.

Theorem 5. *Let T be a tree of order $n \geq 3$. The following are equivalent:*

1. $T \in \mathcal{F}$;
2. $Supp(T)$ is a $\gamma(T)$ -set which forms a 2-packing of T ;
3. $|N_T[x] \cap Supp(T)| = 1$ for every vertex $x \in V_T$;
4. $\gamma(T) = \iota(T)$.

3. Extremal Trees

In this section, we will find the extremal trees, such as $\iota(T) = n/3$. We first introduce the following theorem proved in [4].

Theorem 6. *Let G be a connected graph on $n \geq 3$ vertices, and different from the cycle C_5 . Then, $\iota(G) \leq \frac{n}{3}$, and this bound is sharp.*

We will characterize all trees for which the equality holds. We also show that these trees are special cases of graphs from the family \mathcal{F} for which $\gamma(G) = \iota(G)$. From Theorem 1, we have that some paths are extremal trees.

Observation 3. *Let P_n be a path with $n \geq 3$. Then $\iota(P_n) = \frac{n}{3}$ if, and only if either $n = 3$ or $n = 6$.*

Let \mathcal{R} be the family of trees T that can be obtained from a sequence T_1, \dots, T_j ($j \geq 1$) of trees such that T_1 is a path P_3 and $T = T_j$; and, if $i \geq 1$, T_{i+1} can be obtained from T_i by adding a path P_3 and an edge xy , where x is a vertex at a distance two from a leaf of T_i and y is a leaf of a joined path P_3 . From the construction, we have the following result.

Observation 4. *For a tree T with at least three vertices, $T \in \mathcal{R}$ if, and only if $T \in \mathcal{F}$ and $d_T(s) = 2$ for every $s \in Supp(T)$.*

Figure 2 shows an example of a tree from the family \mathcal{R} . The support vertices are in black.

The following results show that trees for which the equality $\iota(T) = \frac{n}{3}$ holds are exactly the trees belonging to the family \mathcal{R} .

Lemma 1. *Let T be a tree of order $n \geq 3$. If $T \in \mathcal{R}$, then $\iota(T) = \frac{n}{3}$.*

4. Algorithms

The pseudocode in Algorithm 1 shows the implementation of the procedure described in Section 2 to build trees of the family \mathcal{F} . The function receives a tree T of the family \mathcal{F} , a star K that is to be appended to the tree, and a set C made up of all the nodes in T that are a distance 2 from any leaf. These nodes are all the possible points of connection for the star. The function returns an updated tree that results from connecting a leaf $l \in K$ to a node v chosen from C , and an updated set C .

Algorithm 1 Build tree

```

1: function GROWTREE( $T, C, K$ )
2:    $v \leftarrow$  CHOOSE( $C$ )
3:    $S \leftarrow$  NEIGHBOURS( $v$ )
4:   if SIZE( $S$ ) = 1 then                                     ▷  $v$  is a leaf,  $S$  its support
5:      $SL \leftarrow$  LEAVES( $S$ )
6:     if SIZE( $SL$ ) = 2 then                                   ▷  $S$  has two leaves:  $v$  and  $w$ 
7:        $C \leftarrow C - (SL - v)$                              ▷ remove  $w$  from  $C$ 
8:     end if
9:   end if
10:   $l \leftarrow$  ANYLEAF( $K$ )                                   ▷  $l$  can be any leaf of  $K$ 
11:   $T \leftarrow T \cup K \cup \{(v, l)\}$                        ▷ grow  $T$  by connecting  $v \in T$  and  $l \in K$ 
12:  if SIZE( $K$ ) = 3 then
13:     $C \leftarrow C \cup \{l\}$ 
14:  else
15:     $C \leftarrow C \cup$  LEAVES( $K$ )
16:  end if
17:  return  $T, C$ 
18: end function

```

The procedure to update C is the following: if K had more than three vertices (i.e., more than two leaves), all its leaves are added to C . If it had three vertices (two leaves), only l is added to C . Additionally, if the chosen vertex v was a leaf, and its support had exactly two leaves (v and another vertex w), w is removed from the set C because it will no longer be at a distance 2 from another leaf (v will not be a leaf after the connection).

This function allows an incremental build of a tree by using the outputs T and C as inputs for the next iteration, together with the new K to be appended to the tree. For the first iteration, T can be a star, and C all its leaves.

Different methods can be used to select a vertex v from the set C . For instance, we consider three connection schemes: (1) The vertex with maximum degree, (2) the vertex with minimum degree, and (3) a randomly selected vertex. Figure 3 shows an example of the trees obtained with the three connection schemes in a simulation with 50 stars with five vertices each. We observe that the form of the tree obtained by selecting the vertex with minimum degree (Figure 3b) is similar to the tree for a randomly selected vertex (Figure 3c).

Calculating a minimum dominating set of a graph T is an NP-hard problem [6]. The computation of an isolating set can be done from the dominating set by exploring the connectivity of the graph obtained when a set of vertices is removed. When done this way, it is also a NP-hard problem. However, in Section 2, we have determined that the support set for a tree from the family \mathcal{F} is both a minimum dominating set and a minimum isolating set. In addition, when the graph is built using Algorithm 1, the supporting set can be incrementally constructed by adding the support of the star appended at each iteration to it.

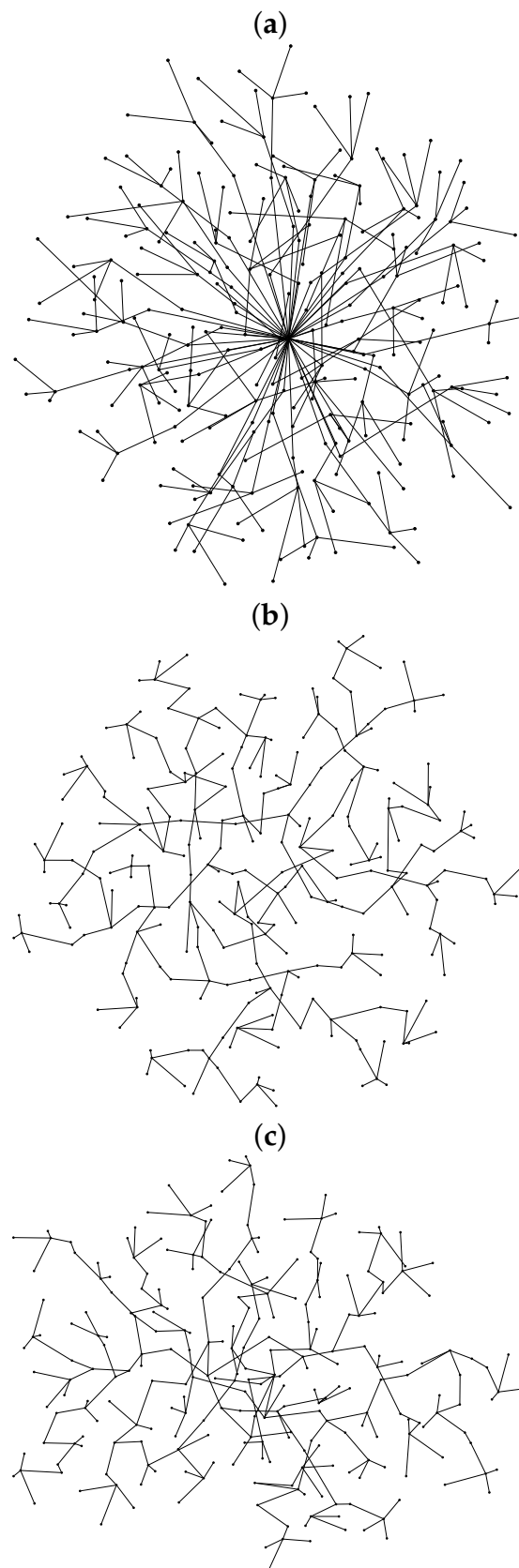


Figure 3. Example of trees obtained using different strategies for choosing the vertex to connect a new star to the tree: (a) the vertex with maximum degree, (b) the vertex with minimum degree, and (c) a randomly selected vertex.

Algorithm 1 can be used for building different trees from the family \mathcal{F} . In order to simplify the comparison among the results, we will show results obtained for stars with the same number of vertices. Figure 4 shows the ratio $|Supp(T)|/|T|$ when the tree is obtained by connecting two stars while varying the number of vertices in each star from 3 to 50. The maximum value corresponds to a path of three vertices, that is, to a graph from the family \mathcal{R} . This is the same result obtained in Section 3.

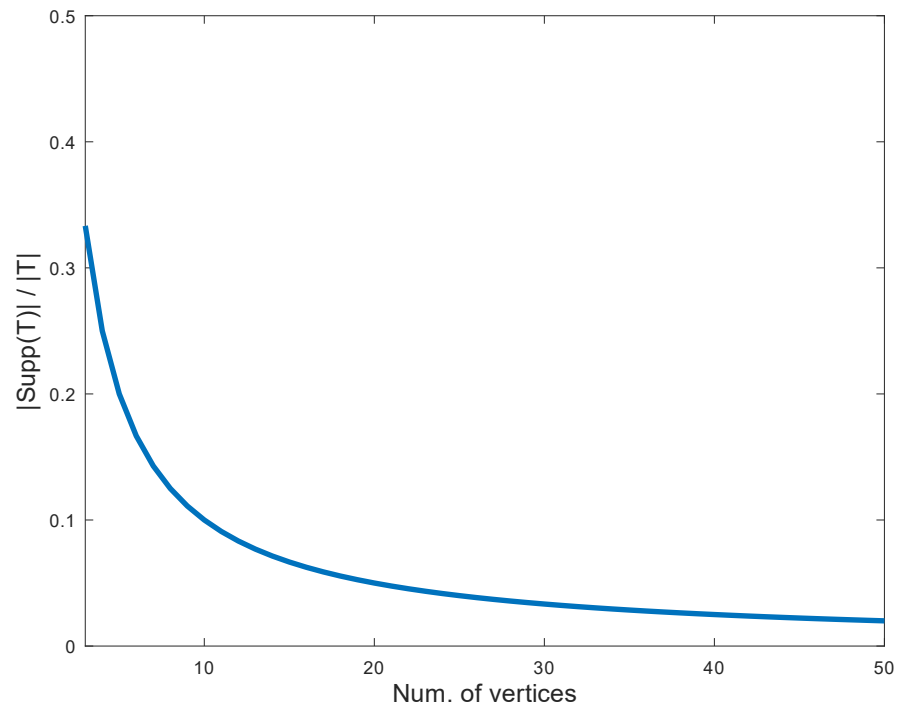


Figure 4. Values of $|Supp(T)|/|T|$ for two stars and a number of vertices in each star between 3 to 50.

In order to compare the strategies for building the tree, we have characterized each tree considering the degree and the diameter. These measures have a great importance in a large number of applications (see, for instance [7,8]): Vertices with high degrees tend to play central roles in many graphs and are considered by many to be the focal points of graph functionality; on the other hand, the diameter provides information about the cost associated to send information between vertices of a graph. Figure 5 compares the graph parameters for trees constructed by connecting from 2 to 30 stars with five vertices. The size of the supporting set is the same for all strategies because it is equal to the number of stars used to construct the tree. From the results in Figure 5 corresponding to the degree, we show the value obtained by averaging the degree of all vertices in the tree, the minimum degree and the maximum degree. For all the strategies, the average degree is similar, but the maximum value increases with the number of stars for the approach where the vertex with the maximum degree is chosen. This is a reasonable result, because this procedure produces a centralized graph where only one vertex is chosen for all the connections. For the other two approaches, the method produces a wide area network. Finally, in the results in Figure 5 corresponding to the diameter, we can see that the first strategy produces a tree with a short diameter, while in the other strategies the diameter increases with the number of stars. We also observe that the results obtained when choosing the vertex with a minimum degree (Figure 5b) are similar to those obtained when the vertex is randomly selected (Figure 5c).

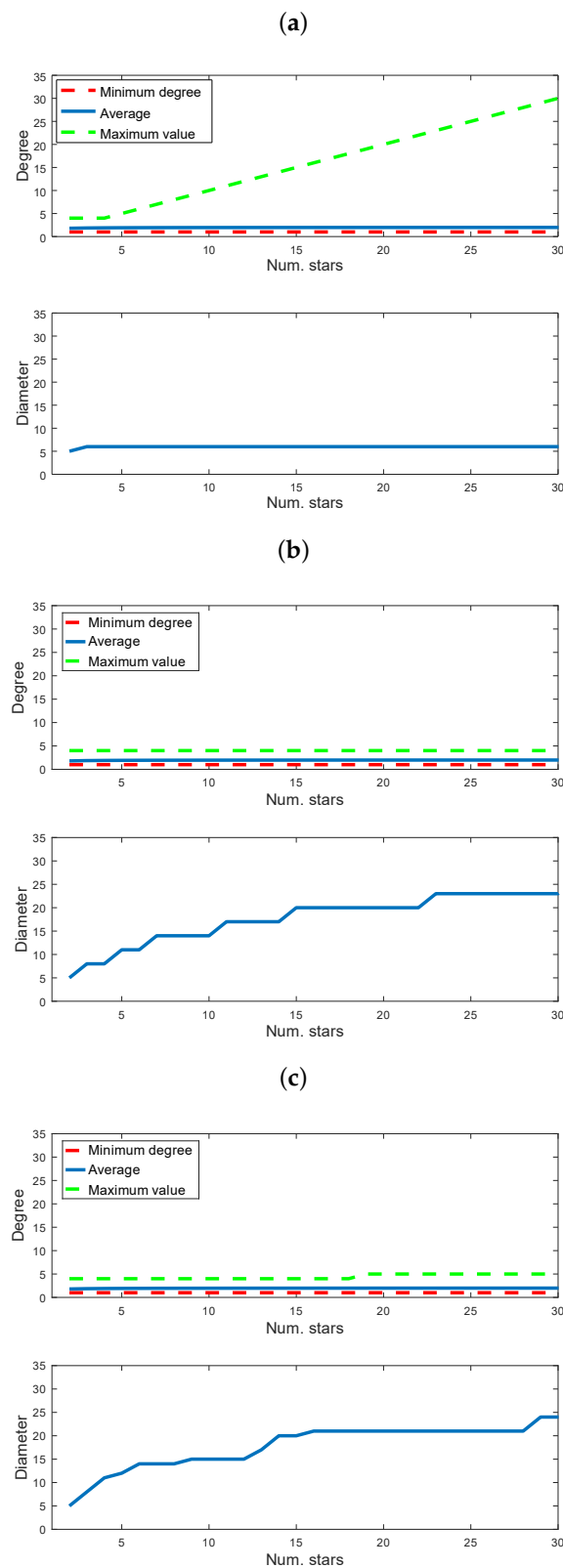


Figure 5. Degree (minimum, average, and maximum value) and diameter obtained using different strategies for choosing the vertex to connect a new star to the tree: **(a)** The vertex with maximum degree, **(b)** the vertex with minimum degree, and **(c)** a randomly selected vertex.

5. Conclusions

We have studied the characterization of trees taking into account the relationship between the isolation number and the domination number. We have found conditions to guarantee the equality of both numbers. For such trees, a minimum dominating set can be found by computing the supporting set. We have also determined extremal trees for which the maximum bound of the isolation number is achieved.

Author Contributions: M.L. and M.J.S.-S. developed the theory; A.D. and F.J.V.-A. designed the algorithms, performed the simulations and analyzed the results; M.L. and M.J.S.-S. wrote the paper; M.J.S.-S. head the research. All authors have read and agreed to the published version of the manuscript.

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