# Frailty status in older adults is related to alterations in indoleamine 2,3-dioxygenase 1 and guanosine triphosphate cyclohydrolase I enzymatic pathways

Diego Marcos-Pérez MSc<sup>ab</sup>, María Sánchez-Flores MSc<sup>ab</sup>, Ana Maseda PhD<sup>c</sup>, Laura Lorenzo-López PhD<sup>c</sup>, José C. Millán-Calenti PhD<sup>c</sup>, Barbara Strasser PhDd, Johanna M. Gostner PhD<sup>d</sup>, Dietmar Fuchs PhD<sup>d</sup>, Eduardo Pásaro PhD<sup>a</sup>, Vanessa Valdiglesias PhD<sup>a</sup>, Blanca Laffon PhD<sup>a</sup>

<sup>d</sup> Biocenter, Innsbruck Medical University, Innsbruck, Austria

#### Abstract

*Background.* Frailty is a multidimensional syndrome correlated to the loss of homeostasis and increased vulnerability to stressors, which is associated with increase in the risk of disability, comorbidity, hospitalization, and death in the elderly. It is based on the interplay of physiological, psychological, social, and environmental factors.

*Objectives.* Because aging involves a detrimental immune response, this work aimed to assess the possible role of chronic low-grade immune stimulation on frailty status in the elderly.

*Methods*. Biomarkers involved in indoleamine 2,3-dioxygenase 1 and guanosine triphosphate cyclohydrolase I enzymatic pathways (namely neopterin, tryptophan, kynurenine, phenylalanine, tyrosine, and nitrite) were analyzed in a population of Spanish older adults aged 65 years and above, and their relationships with frailty status were evaluated.

Results. Significant increases in neopterin levels, kynurenine/tryptophan ratio, and phenylalanine/tyrosine ratio, and significant decreases in tryptophan, nitrite and tyrosine concentrations in frail individuals compared with nonfrail persons were obtained. Significant correlations were also observed between immune biomarkers, indicating they change in parallel, thus, pointing to interrelated causes. Besides, reference ranges for a number of immune biomarkers in the population of robust older adults were established for the first time.

Conclusions. Results obtained in the present study are consistent with the idea that frailty status in the elderly is associated with an additional degree of immune stimulation, manifested in a more intense disturbance of indoleamine 2,3-dioxygenase 1 and guanosine triphosphate cyclohydrolase I pathways than in nonfrail or prefrail older adults.

# Keywords

Frailty; Immune activation; Kynurenine/tryptophan ratio neopterin; Nitrite; Phenylalanine/tyrosine ratio

<sup>&</sup>lt;sup>a</sup> DICOMOSA Group, Department of Psychology, Area of Psychobiology, Universidade da Coruña, A Coruña, Spain

<sup>&</sup>lt;sup>b</sup> Department of Cell and Molecular Biology, Universidade da Coruña, A Coruña, Spain

<sup>&</sup>lt;sup>c</sup> Gerontology Research Group, Universidade da Coruña, Instituto de Investigación Biomédica de A Coruña (INIBIC), Complexo Hospitalario Universitario de A Coruña (CHUAC), SERGAS, A Coruña, Spain

Average age of populations around the world is rapidly increasing, and this trend is evident from the most developed countries to the lowest income regions. In Europe, by 2060, those aged  $65 \pm \text{years}$  will comprise 30% of the population, and 1 person in 8 will be aged 80 years or more. This aging situation leads to an increase in future healthcare expenditure. Because of that, researchers and governments are interested in increasing our knowledge about aging and agerelated conditions and disorders, to reduce sanitary and socioeconomic costs in the future.

Chronological age is normally used to classify elderly people; however, because of the great heterogeneity reported in the aging process, chronological age is not a good indicator of aging signs and symptoms. In this regard, the term "frailty" represents an approach to age-related conditions by replacing the obsolete concept of "chronological age" with the more accurate and person-tailored parameter of "biological age." Frailty has been defined as a medical syndrome with multiple causes and contributors that is characterized by diminished strength, endurance, and reduced physiologic function that increases an individual's vulnerability for developing increased dependency and/or death.4 It may be initiated by disease, lack of activity, inadequate nutritional intake, stress, and/or the physiologic changes of aging. It is manifested as loss of skeletal muscle mass (sarcopenia), abnormal function of immune and neuroendocrine systems, and poor energy regulation.<sup>5</sup> Causes of frailty are complex; it is a multidimensional syndrome based on the interplay of genetic, biological (hormonal, metabolic, and immune-inflammatory systems), physical, psychological, social, and environmental factors.<sup>6,7</sup> Prevalence of frailty is highly variable and dependent on a number of factors including features of the population evaluated and frailty scale applied. This prevalence has been estimated to range from 4% to 59.1%.8 In community-dwelling Spanish populations, it was established to be 8.6% and 16.3% in different studies employing Fried's criteria<sup>11</sup> for frailty identification. However, according to a recent crosssectional study with 331 Spanish participants of both sexes, this prevalence can reach 68.8% in the case of institutionalized older people.<sup>12</sup>

Normally in later life, immune response becomes chronic and detrimental, contributing to the development of a number of agerelated chronic diseases such as atherosclerosis, <sup>13</sup> type-2 diabetes, <sup>14</sup> Alzheimer disease, <sup>15</sup> and osteoporosis. <sup>16</sup> Thus, the term "immunosenescence" reflects those age-related changes in immune responses, both cellular and serologic, affecting the process of generating specific responses to foreign and self-antigens. <sup>17</sup> Although inflammation is an acute and fundamental response to cope with internal and external damaging agents, the continuous antigenic stress means the immune system can become overstimulated over time and inefficient with age; this process has been proposed to be named "inflammaging." <sup>18</sup>

Upon immune activation, inflammatory factors (eg, Th1-type cytokine interferon-g) induce the expression of the enzymes indoleamine 2,3-dioxygenase 1 (IDO) (EC 1.13.11.52) and guanosine triphosphate cyclohydrolase I (GCH) (EC 3.5.4.16) in monocytes/macrophages and dendritic cells (Figure 1). IDO is involved in transforming tryptophan into kynurenine. In vivo, kynurenine/tryptophan (Kyn/Trp) ratio reflects tryptophan breakdown, and in inflammatory conditions is considered to represent IDO enzyme activity. 19 Several clinical conditions associated with increased immune activation are characterized by intensified tryptophan degradation [eg, several infections including human immunodeficiency virus (HIV) infection, autoimmune syndromes, a number of cancers, neurodegenerative disorders, and cardiovascular disease, among others]. When GCH, the key enzyme of pteridine biosynthesis, is activated, it produces 7,8dihydroneopterin triphosphate (NH<sub>2</sub>TP), which is a precursor of neopterin and tetrahydrobiopterin (BH<sub>4</sub>). BH<sub>4</sub> is an essential cofactor of amino acid monooxygenases, including phenylalanine 4hydroxylase (PHA) (EC 1.14.13.39), involved in the conversion of phenylalanine to tyrosine, and nitric oxide synthases (NOS) (EC 1.14.13.39), which catalyze the conversion of arginine to nitric oxide (NO).21 In conditions of immune activation, neopterin is released by activated human monocytic cells at the expense of the formation of BH<sub>4</sub>. <sup>22</sup> Thus, neopterin concentration in body fluids, including serum, urine, and cerebrospinal fluid, is considered a sensitive marker of immune system activation. In fact, neopterin levels are increased in malignant tumors, in autoimmune, cardiovascular, infectious, and neurodegenerative diseases, and during rejection episodes in allograft recipients.<sup>23</sup> Likewise, the spectrum of diseases in which elevated serum phenylalanine levels, as consequence of low PHA activity, have been reported, including sepsis, HIV infection, cancer, burns, and trauma,<sup>24</sup> is very similar to the one with increased degradation of tryptophan and neopterin production.

# Neopterin Neopterin NH2TP GCH NH2TP GCH Kynurenine NOS NO

Fig. 1. Immune stimulation through inflammation factors involves activation of IDO and GCH pathways, which leads to increase in tryptophan breakdown, increase in neopterin production from 7,8-dihydroneopterin triphosphate ( $NH_2TP$ ) at the expense of  $BH_4$  and, consequently, decrease in PHA and NOS activities, resulting in decline of tyrosine and NO production. Italic letter indicates immune biomarkers analyzed in this study.

The present work aimed to assess the possible role of chronic low-grade immune stimulation on frailty status in the elderly. Our hypothesis was that immune stimulation biomarkers involved in IDO and GCH enzymatic pathways would have a significant association with frailty. To test this hypothesis, we conducted a cross-sectional study in a population of Spanish older adults analyzing neopterin, tryptophan, and phenylalanine metabolism parameters, and nitrite as an estimate of NO production, and evaluated their relationship with frailty status. In addition, we explored the potential relationship between the immune biomarkers and nutritional and functional status in older adults.

### Methods

# Study Population

Participants were recruited from several associations of retired or older people, nursing homes, and daycare centers located in Galicia, North-western Spain. The final cohort included 259 individuals (85 male and 174 female) aged 65 years and above. A clinical evaluation was carried out by trained interviewers to unify criteria, and participants completed a questionnaire to collect medical, lifestyle, and demographic information. In addition, whole blood samples were collected into vacutainer tubes without anticoagulant or containing sodium heparin between 9:30 AM and 12:30 PM, and were transported to the laboratory immediately. Serum and plasma samples were obtained by centrifugation at 2300 rpm for 10 minutes, aliquoted, and stored at -80°C until analysis. All laboratory measurements were performed in a blinded manner because of all samples were coded at the moment of collection. Exclusion criteria included taking medications known to affect the immune system and having autoimmune diseases, neoplasia, or any chronic infection, such as HIV, hepatitis C virus, and hepatitis B virus.

The general characteristics of the study population are collected in Table 1. The number of current smokers and ex-smokers was quite low (N = 5 and N = 49, respectively); hence, they were combined in the category "ever smokers." Similarly, participants with low comorbidity (Charlson comorbidity index total score = 2, N = 47) and high comorbidity (total score  $\geq$  3, N = 33)<sup>25</sup> were grouped together. And malnourished individuals (N = 14) were combined with those at risk of malnutrition (N = 80) in a single category.

Table 1. Description of the Study Population

Characteristic	Nonfrail	Prefrail	Frail	P
Total individuals N (%)	40 (15.4)	131 (50.6)	88 (34.0)	
Age (y)*	$73.2 \pm 5.5 (65 - 85)$	$77.05 \pm 7.7 (65-100)$	$85.8 \pm 7.9 (65-102)$	<.001 <sup>†</sup>
Sex N (%)	75.2 = 5.5 (65 65)	77.05 = 7.7 (05 100)	03.0 = 7.9 (03 102)	1.001
Male	27 (67.5)	36 (27.5)	22 (25.0)	<.001‡
Female	13 (32.5)	95 (72.5)	66 (75.0)	
Living conditions N (%)	10 (02.0)	, e (, <b>=</b> 10)	00 (72.0)	
Family home	40 (100)	113 (86.3)	5 (5.7)	<.001‡
Family home + daycare center	_	4 (3.1)	23 (26.1)	
Nursing home	_	14 (10.7)	60 (68.2)	
Smoking habits N (%)		,	,	
Nonsmokers	22 (55.0)	102 (78.5)	76 (90.5)	<.001‡
Ever smokers	18 (45.0)	28 (21.5)	8 (9.5)	
No. cigarettes/d*	$16.1 \pm 8.8 (3-40)$	$15.7 \pm 13.9 (2-60)$	$31.4 \pm 15.7 (2-60)$	$.020^{\dagger}$
Years smoking*	$19.4 \pm 9.1 (10 - 34)$	$30.4 \pm 18.7 (4-66)$	$29.3 \pm 18.2 (6-52)$	$.154^{\dagger}$
Nutrition N (%)	` ′	` ,	, ,	
Normal nutrition status	36 (90.0)	106 (80.9)	18 (21.7)	<.001‡
At risk of malnutrition	4 (10.0)	23 (17.6)	53 (63.9)	
Malnourished		2 (1.5)	12 (14.5)	
At risk or malnourished	4 (10.0)	25 (19.1)	65 (70.3)	
MNA-SF score*	$13.3 \pm 1.4 (8-14)$	$12.8 \pm 1.7 (4-14)$	$9.7 \pm 2.4 (4-14)$	$<.001^{\dagger}$
Functional status N (%)	` '	` ,	` /	
No dependence	38 (95.0)	109 (83.2)	5 (5.7)	<.001‡
Dependence	2 (5.0)	22 (16.8)	82 (94.3)	
Comorbidity N (%)				
No comorbidity	34 (85.0)	92 (70.2)	52 (59.8)	.015‡
Comorbidity	6 (15.0)	39 (29.8)	35 (40.2)	

ANOVA, analysis of variance; MNA-SF, Mini-Nutritional Assessment-Short Form.

All participants, or their relatives in case of inability, gave informed consent to be included in this study. The study protocol conformed to the principles embodied in the Declaration of Helsinki and was approved by the University of A Coruña Ethics Committee.

# Frailty Status

Frailty status of each participant was determined according to the criteria proposed by Fried et al. These criteria are based on the presence or absence of 5 specific and phenotypic components (1) unintentional weight loss: at least 4.5 kg in the past year; (2) self reported exhaustion, identified by 2 questions from the modified 10-item Center for Epidemiological Studies-Depression scale using the Spanish version; (3)weakness: grip strength in the lowest 20% at baseline, adjusted for sex and body mass index; (4) slow walking speed: the slowest 20% at baseline, based on time to walk 4.6 m, adjusting for sex and standing height; and (5) low physical activity: the lowest 20% at baseline, based on a weighted score of kilocalories expended per week, calculated according to the Spanish validation of the Minnesota Leisure Time Activity questionnaire, according to each participant's report, and adjusting for sex. Individuals presenting 3 or more of these characteristics were considered frail, while the presence of 1 or 2 of them was considered as a prefrailty state, and the absence of all 5 indicated a nonfrail state.

<sup>\*</sup>Mean ± standard deviation (range).

<sup>†</sup>ANOVA test (bilateral).

 $<sup>^{\</sup>ddagger}\chi^2$  test (bilateral).

# Comorbidity

Charlson comorbidity index<sup>25</sup> was used to assess general comorbidity and number of comorbid diseases. A Charlson comorbidity index age-adjusted score was computed for each participant, coding the absence of comorbid diseases as 0, and the presence as 1 to 6.

#### Nutritional and Functional Status

Nutritional screening was carried out with the Mini-Nutritional Assessment-Short Form (MNA-SF) questionnaire <sup>29</sup> (Spanish version<sup>30</sup>), composed of 6 questions from the full MNA questionnaire. <sup>31</sup> The functional status [ie, the participant's capacity to perform basic activities of daily living (ADL)], was evaluated by an occupational therapist using the Barthel index<sup>32</sup> validated for Spanish population. <sup>33</sup>

# Neopterin Measurement

Serum neopterin levels were determined by using a commercially available ELISA kit (BRAHMS GmbH, Hennigsdorf, Germany), following the manufacturer's instructions. Sensitivity of the test was 2 nmol/L neopterin.

#### Tryptophan, Kynurenine, Phenylalanine, and Tyrosine Analyses

Serum concentrations of tryptophan and kynurenine on one hand, and plasma concentrations of phenylalanine and tyrosine on the other hand, were simultaneously determined by high performance liquid chromatography with the use of an external albumin-based calibrator and an internal calibrator (3-nitro-L-tyrosine), following the general protocols proposed by Laich et al  $^{34}$  and Neurauter et al,  $^{35}$  respectively. The extent of tryptophan breakdown and PHA activity were estimated by calculating the kynurenine to tryptophan ratio (Kyn/Trp) and the phenylalanine to tyrosine ratio (Phe/Tyr), respectively. Limits of detection were 0.1  $\mu$ mol/L tryptophan, 0.5  $\mu$ mol/L kynurenine, and 0.3  $\mu$ mol/L phenylalanine and tyrosine.

# Nitrite Determination

The stable NO metabolite nitrite ( $NO_2$ -) was measured in plasma samples as an estimation of NOS activity and NO production, <sup>36</sup> according to the Griess method. A standard curve was prepared with different NaNO<sub>2</sub> concentrations; then 50 mL of plasma or standard curve samples and 125  $\mu$ L of Griess reagent (Merck, Darmstadt, Germany) were added onto a microplate. After 10 minutes of incubation at room temperature without shaking, color development was measured at 562 nm in a power wave X microplate reader (Bio-Tek Instruments, Winooski, VT), equipped with kinetic analysis software (KC4 v.2.5; Bio-Tek Instruments). Limit of detection was 1.5  $\mu$ mol/L nitrite.

# Statistical Analysis

A general description of the study population was conducted by univariate analysis, comparing sociodemographic features (ie, sex, age, and living conditions), lifestyle factors (ie, tobacco consumption, nutritional status), and several clinical factors (ie, functional status, comorbidity) in the 3 groups of older adults classified according to their frailty status (nonfrail, prefrail, and frail). Analysis of variance was applied for continuous variables and c2 test was used for categorical variables.

Preliminary univariate analyses through analysis of variance and Tuckey post-hoc test were performed to assess the effect of frailty status on the immune biomarkers. The effect of nutritional and functional status was preliminarily evaluated by Student t test. Data from tryptophan,

kynurenine, and tyrosine followed a normal distribution (Kolmogorov-Smirnov goodness-of-fit test). To achieve a better approximation to the normal distribution, a log-transformation of the data was applied to Kyn/Trp ratio. As no improvement was achieved with transformation, the Kruskal-Wallis and Mann-Whitney U tests were applied for statistical evaluation of neopterin, nitrite, phenylalanine concentrations, and Phe/Tyr ratios.

Reference ranges were calculated for the immune biomarkers on the basis of values from nonfrail and prefrail individuals. For those biomarkers following a normal distribution, reference ranges were defined by the mean  $\pm$  2 standard deviation. When data were considered to have a non-Gaussian distribution, reference ranges were defined as the central 95% of the area under the distribution curve (from 2.5% to 97.5%).

Linear regression models were applied on the log-transformed data to estimate the effect of frailty status, nutritional status, and functional status. All models included sex, age, and smoking habits (never/ever smokers). The results are presented as mean ratios and 95% confidence intervals.

Associations between immune biomarkers were estimated by partial correlation coefficients, adjusting for sex, age, and smoking habits. The critical limit for significance was set at P < .05. Analyses were carried out using the IBM SPSS software package V. 20 (SPSS, Inc, Chicago, IL) and the STATA/SE software package V. 12.0 (StataCorp LP, College Station, TX).

#### **Results**

The population analyzed (Table 1) was composed of 259 participants, mainly female participants (67%), age range 65–102 years. The prefrail group was the most numerous, followed by frail participants. Among all 131 prefrail participants, 89 (68%) were positive for only 1 frailty criterion, and 42 (32%) were positive for 2 frailty criteria. The low grip strength criterion, indicative of muscle weakness, was present in most prefrail individuals (N = 126, 96%). Most frail patients lived in nursing homes, whereas all nonfrail participants lived at family home, not attending daycare centers. Prevalence of smoking habits was quite balanced in the group of nonfrail participants, but nonsmokers were clearly more frequent in the other 2 groups. Regarding nutrition, few individuals were malnourished, most of them frail and none nonfrail; accordingly the mean MNA-SF score was lower in frail participants. Only 2 nonfrail participants were classified as ADL dependent, according to their functional status, whereas most frail patients were ADL dependent. Moreover, comorbidity was present in only 15% of nonfrail participants, but in 40% of frail individuals.

Results of the immune biomarkers analyzed in the nonfrail, prefrail, and frail groups are shown in Table 2. According to univariate analyses, significant and progressive changes were observed in concentrations of several biomarkers. Significant increases were obtained of neopterin concentrations and Kyn/Trp ratio in the frail group with regard to the other 2 groups. On the contrary, tryptophan, nitrite and tyrosine levels decreased significantly in the presence of frailty; only in the case of nitrite were the 3 population groups significantly different.

Table 2. Results of Immune Biomarkers in the Study Group, Classified According to Frailty Status (Univariate Analysis)

Immune Biomarker	Nonfrail	Prefrail	Frail	P Value*
	N (Mean ± SE)	N (Mean ± SE)	N (Mean ± SE)	
Neopterin (nmol/L)	$37 (5.96 \pm 0.30^{a})$	$120 (7.53 \pm 0.44^{a})$	$86 (13.04 \pm 0.85^{b})$	<.001
Tryptophan (μmol/L)	$35 (82.30 \pm 2.52^{a})$	$118 (75.72 \pm 1.42^{a})$	$86 (62.71 \pm 1.64^{b})$	<.001
Kynurenine (µmol/L)	$35 (2.26 \pm 0.09)$	$118 (2.44 \pm 0.07)$	$86 (2.41 \pm 0.08)$	.467
Kyn/Trp (μmol/mmol)	$35 (37.60 \pm 3.20^{a})$	$118 (42.93 \pm 2.14^{a})$	$86 (81.70 \pm 3.98^{b})$	<.001
Nitrite (µmol/L)	$37 (13.09 \pm 2.66^{a})$	$121 (8.16 \pm 0.79^{b})$	$77 (1.90 \pm 0.41^{\circ})$	<.001
Tyrosine (µmol/L)	$37(112.21 \pm 4.98^{a})$	$123 (108.85 \pm 2.80^{a})$	$86 (96.34 \pm 2.37^{b})$	.002
Phenylalanine (µmol/L)	$37(79.56 \pm 3.54)$	$123 (73.26 \pm 1.51)$	$86 (76.52 \pm 3.56)$	.336
Phe/Tyr (µmol/µmol)	$37(0.72 \pm 0.02)$	$123(0.70 \pm 0.01)$	$86(0.81 \pm 0.04)$	.061

Note. Bold values are statistically significant (P < .05).

ANOVA, Analysis of variance.

Different superscript letters (a, b, c) indicate statistically different groups (Tukey test or Mann-Whitney U test).

Because reference ranges specific for robust older adults were not available for any of the biomarkers analyzed in this work, values obtained from nonfrail and prefrail participants were used for calculating the lower and upper limits of the corresponding reference ranges (Table 3), since no significant differences between these 2 groupswere observed, with the exception of nitrite. Percentages of concentrations registered in frail participants out of the calculated reference ranges were notable for Kyn/Trp ratio (above) and tryptophan (below), and moderate for neopterin (above) and nitrite (below). Values exceeding the reference range in both directions were observed for phenylalanine and Phe/Tyr ratio.

Table 3. Reference Ranges of the Immune Biomarkers Analyzed, Calculated on the Basis of Results Obtained in Nonfrail and Prefrail Participants

Immune Biomarker	N	Values in "Healthy" Participants*	Reference Range		Percent of Frail Participants Out of the Reference Range	
			Lower Limit	Upper Limit	Below	Above
Neopterin (nmol/L)	157	5.94 (1.58)	3.50	22.40	_	10.5
Tryptophan (μmol/L)	153	67.4 (10.2)	46.14	108.30	16.3	_
Kynurenine (µmol/L)	153	1.78 (0.42)	0.88	3.92	_	3.5
Kyn/Trp (μmol/mmol)	153	26.7 (6.2)	16.69	99.43	_	22.1
Nitrite (µmol/L)	158	44.9 (32.0)	0.59	36.19	9.1	_
Tyrosine (µmol/L)	160	90.6 (22.9)	47.95	171.31	_	1.2
Phenylalanine (µmol/L)	160	65.2 (11.1)	48.11	118.72	5.8	7.0
Phe/Tyr	160	0.75 (0.14)	0.36	1.05	1.2	9.3

<sup>\*</sup>According to Geisler et al $^{37}$  (2015) (mean age  $\pm$  standard deviation: 49  $\pm$  11.4 years).

Remarkable significant correlations were obtained between biomarkers (Table 4). Neopterin showed strong associations with tryptophan breakdown parameters and slight association with nitrite and Phe/Tyr. In turn, nitrite and phenylalanine metabolism products were moderately associated with tryptophan breakdown products. Frailty presented significant direct associations with neopterin, Kyn/Trp and Phe/Tyr, and inverse associations with tryptophan, nitrite, and tyrosine.

<sup>\*</sup>Multiple group comparison (ANOVA or Kruskal-Wallis test).

**Table 4**. Partial Correlation Coefficients Between Biomarkers Analyzed, Adjusted by Age, Sex and Smoking Habits (Cells in Light Gray: Moderate Associations, Cell in Dark Gray: Strong Association)

Biomarker	Neopterin	Tryptophan	Kynurenine	Kyn/Trp	Nitrite	Phenyl- alanine	Tyrosine	Phe/Tyr
Frailty Neopterin Tryptophan Kynurenine Kyn/Trp Nitrite Phenylalanine	0.209**	-0.294*** -0.233	-0.070 0.365*** 0.268***	0.344*** 0.565***	-0.328*** -0.219** 0.119 -0.031 -0.367***	-0.049 0.038 0.304*** 0.080 -0.041 -0.055	-0.223** -0.122 0.410*** 0.167* -0.134* -0.074 0.491***	0.162* 0.151*   -0.094   -0.078 0.086 0.039

<sup>\*</sup>*P* < .05; \*\**P* < .01, \*\*\**P* < .001.

Table 5 summarizes the results from the multivariate statistical analyses. All models were significant and adjusted by age, sex, and smoking habits. Results were essentially in agreement with those obtained from the univariate analyses (ie, significant increases in neopterin and Kyn/Trp, together with Phe/Tyr levels, and significant decreases in tryptophan, nitrite, and tyrosine concentrations in frail individuals compared with nonfrail participants). No significant differences were observed between nonfrail and prefrail participants, except in the case of nitrite concentrations, which showed a progressive decline with increasing frailty severity. Significant positive influence of age was obtained in neopterin, kynurenine, and Kyn/Trp levels, and inverse influence was observed in tryptophan concentrations.

Table 5. Effect of Frailty Status on Immunologic Biomarkers; Models Adjusted by Age, Sex, and Smoking Habits

Frailty Status Group	Neopterin	Tryptophan	Kynurenine	Kyn/Trp	
	Mean Ratio (95%	Mean Ratio (95%	Mean Ratio (95%	Mean Ratio (95%	
	CI)	CI)	CI)	CI)	
Frailty status					
Nonfrail	1.00	1.00	1.00	1.00	
Prefrail	1.06 (0.88-1.27)	0.93 (0.85-1.01)	1.03 (0.91-1.16)	1.08 (0.91-1.29)	
Frail	1.41 (1.15-1.76)**	0.81 (0.73-0.89)**	0.94 (0.81-1.08)	1.76 (1.43-2.17)**	
	Nitrite	Tyrosine	Phenylalanine	Phe/Tyr	
	Mean Ratio (95%	Mean Ratio (95%	Mean Ratio (95%	Mean Ratio (95%	
	CI)	CI)	CI)	CI)	
Frailty status					
Nonfrail	1.00	1.00	1.00	1.00	
Prefrail	0.65 (0.44-10.97)*	0.94 (0.85-1.04)	0.93 (0.84-1.04)	1.00 (0.90e1.10)	
Frail	0.18 (0.11-0.30)**	0.82 (0.73-0.92)**	0.92 (0.81-1.04)	1.12 (1.00-1.26)*	

Note. Bold values are statistically significant (P < .05).

Nutritional status and functional status were also shown to significantly influence some biomarkers (Table 6). Specifically, individuals malnourished or at risk of malnutrition presented significantly higher levels of neopterin, Kyn/Trp, and Phe/Tyr, and significantly lower concentrations of tryptophan, nitrite, and tyrosine (borderline significant P=.053, in the last case) than participants with normal nutrition. Similar results were obtained in ADL dependent patients compared with nondependent individuals.

CI, confidence interval.

<sup>\*</sup>*P* < .05; \*\**P* < .01.

Table 6. Effect of Nutritional Status and Functional Status on Immunologic Biomarkers; Models Adjusted by Age, Sex, and Smoking Habits

Group	Neopterin	Tryptophan	Kynurenine	Kyn/Trp
	Mean Ratio (95% CI)	Mean Ratio (95% CI)	Mean Ratio (95% CI)	Mean Ratio (95% CI)
Nutritional status				
Normal nutrition	1.00	1.00	1.00	1.00
At risk or malnourished Functional status	1.22 (1.07-1.39)**	0.92 (0.87-0.98)**	0.96 (0.88–1.04)	1.30 (1.36-1.48)**
Nondependent	1.00	1.00	1.00	1.00
Dependent	1.40 (1.21-1.61)**	0.89 (0.83-0.95)**	0.99 (0.90-1.10)	1.77 (1.55-2.02)**
	Nitrite	Tyrosine	Phenylalanine	Phe/Tyr
	Mean Ratio (95% CI)	Mean Ratio (95% CI)	Mean Ratio (95% CI)	Mean Ratio (95% CI)
Nutritional status Normal nutrition	1.00	1.00	1.00	1.00
At risk or malnourished	0.47 (0.34-0.65)**	0.93 (0.87–1.00)†	1.03 (0.96–1.11)	1.11 (1.03e1.19)**
Functional status Nondependent Dependent	1.00 <b>0.27 (0.19–0.38)</b> **	1.00 <b>0.88 (0.81–0.96)</b> **	1.00 0.97 (0.89–1.06)	1.00 <b>1.10 (1.02–1.19)</b> *

Note. Bold values are statistically significant (P < .05).

#### **Discussion**

A huge body of evidence supports the age-related chronic lowgrade inflammation (inflammaging). 38-40 Further, chronic low-grade inflammation is considered to be involved in age-related maladies, such as neurodegenerative diseases, 41 cardiovascular diseases, 42 osteoporosis, 43 or cancer. 44 Associations between several proinflammatory factors, namely interleukin 6, C reactive protein, and tumor necrosis factor alpha, and frailty have been previously reported. 45-48 Besides, purified monocytes from frail participants were reported to have upregulated expression of stress-responsive inflammatory pathway genes, compared with monocytes from nonfrail individuals, in ex vivo studies with or without lipopolysaccharide stimulation. 49,50 Still, data on in vivo immune stimulation and monocyte/macrophage activity related to frailty status are very scarce. To our knowledge, no studies addressed so far the possible relationship of frailty status with immunologic biomarkers involved in GCH or IDO enzymatic pathways, except for neopterin. 51,52 Hence, the possible disturbance of the mentioned immune stimulation-related enzymatic pathways was analyzed in the present work in a population of Spanish older adults, classified according to their frailty status following the 5 phenotypic criteria proposed by Fried et al. 11

Although concentrations of the immune biomarkers assessed in this work were previously reported in populations or subpopulations of older adults, <sup>53–58</sup> frailty status of the participants in these studies was not determined; at most some reports specified they were "healthy." Thus, it was necessary to establish reference ranges of these biomarkers specifically in the group of robust older adults (ie, in the absence of frailty). For some of the immune biomarkers, namely neopterin, nitrite, and especially Kyn/Trp and tryptophan, the rate of concentrations in the frail group out of the reference range was remarkable and entirely in the same direction (only above reference range in the case of neopterin and Kyn/Trp, and only below range in tryptophan and nitrite), indicating a clear tendency of disturbance related to frailty status.

CI, confidence interval.

<sup>\*</sup>*P* < .05; \*\**P* < .01.

 $<sup>\</sup>dagger P = .053.$ 

Neopterin concentration in body fluids is considered as a marker of activation of the immune system, in particular of Th1 or cell-mediated response. Higher concentrations of neopterin in older age were previously reported, and association between increased neopterin concentrations and enhanced tryptophan breakdown (as indicated by Kyn/Trp ratio) has been documented in older adults as well. Similarly, our results show significant and positive influence of age on neopterin and kynurenine concentrations and Kyn/Trp ratio, and inverse influence on tryptophan levels.

To date, only very few studies evaluated neopterin serum levels in older adults in association with frailty, finding significantly higher neopterin concentrations in frail older adults than in nonfrail controls, either equally older<sup>51</sup> or younger (median 38 years).<sup>52</sup> Current results support those previous ones and also indicate association of frailty with tryptophan breakdown because significant influence was observed for frailty status on neopterin, tryptophan, and Kyn/Trp ratio. Moreover, our results are also in line with other studies reporting that neopterin urinary concentrations and Kyn/Trp ratio predict mortality in nonagenarians<sup>60,61</sup> because frailty is related to increased vulnerability to stressors and increases the risk of death<sup>4,63</sup>

Alterations of Kyn/Trp ratio may be due to an enhanced activity of 2 enzymes, namely IDO and tryptophan 2,3-dioyxgenase (TDO), an IDO isoenzyme not induced by proinflammatory cytokines but rather upregulated by tryptophan itself and corticosteroids. However, in the presence of immune stimulation, Kyn/Trp together with concentrations of neopterin reflect the degree of Th1-type immune activation. The strong correlation found in this study between neopterin concentration and Kyn/Trp points to enhanced IDO activity and immune stimulation as the cause behind tryptophan parameters disturbance. Besides, in many cases, the tryptophan breakdown rate not only correlates with neopterin concentrations, but also with the extent and the activity of the disease (eg, in viral infections or malignant tumors). The moderate significant correlations obtained in the current study between frailty status and tryptophan breakdown parameters, and also with nitrite and tyrosine, suggest that the level of these markers may be indicative (directly or inversely) of frailty severity. This also indicates that, although neopterin and tryptophan breakdown products are not specific biomarkers for frailty, development of frailty status takes most likely place when these immune biomarkers increase, being the immune system activation a strong driving force for frailty development.

Activated human monocytes/macrophages produce neopterin at the expense of BH4.<sup>69</sup> BH4 deficiency affects PHA and NOS enzymatic activities, consequently diminishing tyrosine and NO production and increasing the ratio Phe/Tyr, considered a useful measure to estimate PAH activity.<sup>70</sup> Indeed, increases in phenylalanine concentration and in Phe/Tyr have been reported in patients with different chronic inflammatory conditions, and correlations with neopterin concentrations were also found.<sup>21,71–73</sup> Our results showed a significant influence of frailty status on Phe/Tyr (direct) and on tyrosine and nitrite concentrations (inverse), supporting the view that both PAH and NOS activities are impaired in frail older adults. The significant correlation found between Phe/Tyr and neopterin, and the inverse associations observed of nitrite with neopterin concentrations and Kyn/Trp, also point toward parallel disturbance of GCH and IDO enzymatic pathways caused by Th1-type immune activation in frail older adults.

No significant association was obtained in this work between nitrite and any of the phenylalanine breakdown parameters. Several reasons may help to explain this lack of association. On one hand, even though the majority of plasma nitrite is derived from constitutive NOS activity,<sup>36</sup> serum nitrite concentrations only serve as a rough estimate of NO production rates<sup>71</sup>; indeed food is an important exogenous factor influencing serum nitrite concentrations.<sup>37</sup> And, on the other hand, tyrosine is not an end-product and its concentrations are also influenced by the activity of another BH<sub>4</sub>-dependent enzyme (tyrosine hydroxylase), which forms L-DOPA (L-3,4-dihydroxyphenylalanine) from tyrosine.<sup>22</sup>

In elderly persons, nutrition-related problems are very common. In the study population, 14.5% of frail peoplewere malnourished and 90% of participants at risk or malnourished were prefrail or frail. In addition, 93% frail participants were dependent to perform ADL activities. When the potential influence of nutritional status or functional status on the immune biomarkers was tested in the current study, results obtained were parallel to those for frailty status. This was not surprising because an association and overlap between frailty and impaired nutritional status has

been previously demonstrated, revealing that patients who are at risk of malnutrition are more likely to be frail and have impaired mobility. Hesides, a 12-year prospective population-based study reported that some particularly unhealthy dietary patterns may increase the risk of frailty in older adults. And a recent meta-analysis revealed that malnutrition and physical frailty in community-dwelling older adults are related, but prevalence of physical frailty is much higher than the prevalence of malnutrition (19% vs 2.3%, respectively), indicating that these syndromes are not interchangeable.

Moreover, significant relationship between frailty and functional status (disabilities in instrumental ADL scale, which precede disabilities in ADL and loss of autonomy)was reported. And it has also been shown that malnutrition compromises the functional status. Hence, our results show the interrelationship between frailty, nutritional status, and functional status; still, frailty is a more a holistic concept involving not only nutritional and functional features, but also a general physiological decline.

Besides, age-related decline of the immune system can undermine the dynamic homeostatic balance between the microbiota and the gut-associated immune system, leading to changes in intestinal microbial structure and composition. Indeed, immunosenescence and inflammaging have been connected to changes in microbiota composition in older adults. Moreover, alterations in the gut microbiota composition have been associated with several chronic conditions, including frailty. The composition of the microbiome will certainly influence the degree of immune system-derived metabolic alterations. Immune response strongly affects biochemical pathways that are most relevant in the control of pathogens growth. Thus, restricting availability of the essential amino acid tryptophan is of great relevance limiting protein biosynthesis, but it also interferes with the biochemistry of tryptophan for serotonin production and the kynurenine pathway (thus, affecting mood). Therefore, microbiome composition can play a role in the relationship between frailty and the immune stimulation biomarkers analyzed in this study, but further investigation is required to explore this possibility.

In summary, results obtained in the present study are consistent with the idea that chronic immune system stimulation in frail older adults is higher than expected according only to their age (ie, frailty status in the elderly is associated with an additional degree of immune stimulation, manifested in more intense disturbance of IDO and GCH pathways than in nonfrail or prefrail older adults). Nevertheless, because this study was carried out in an older adult population, a major limitation is that participants are not completely healthy, but most of them present different pathologic conditions (in some cases comorbidity), and take medications to treat them. Although exclusion criteria adopted included having autoimmune diseases, neoplasia or any chronic infection, and taking medications known to affect the immune system, it is possible that some of the chronic diseases that are usually found in older adults modify the levels of immune systemrelated molecules.

# Conclusions

This work establishes, for the first time, reference ranges for a number of immune biomarkers related to IDO and GCH enzymatic pathways in the population of robust older adults (ie, excluding the presence of frailty).

Furthermore, results from this study provide evidence for the existence of significant influence of frailty status on circulating concentrations of immune biomarkers involved in IDO and GCH enzymatic pathways. Significant correlations observed between immune biomarkers indicate they change in parallel, not independently, thus, pointing to interrelated causes. Altogether these results suggest that the presence and intensity of immune stimulation in frail participants is not only due to their age itself. In other words, our data support the involvement of monocyte/macrophage mediated Th1 immune activation and disturbed amino acid biochemistry in the pathophysiology of the frailty geriatric syndrome.

These findings provide a basis for further investigations on the underlying immune mechanisms that contribute to frailty status in the elderly to determine the orientation and feasibility of future interventional strategies focused on prevention and treatment of frailty.

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